



2142

PATENT  
Attorney Docket No.: AVARS-02300

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	Group Art Unit: 2142
John G. Leddy et al.	)	Examiner: Vu, Thong H.
Serial No.: 09/903,441	)	<b><u>TRANSMITTAL LETTER</u></b>
Filed: July 10, 2001	)	162 N. Wolfe Road
For: <b>ROUTING INFORMATION</b>	)	Sunnyvale, CA 94086
<b>EXCHANGE</b>	)	(408) 530-9700
	)	Customer No.: 28960

MS: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

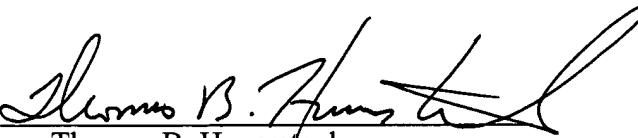
A \$180.00 check is also enclosed pursuant to 37 C.F.R. § 1.17(p) for filing this Information Disclosure Statement after three months as set forth in 37 C.F.R. § 1.97(c).

You will also find enclosed the associated Transmittals, Electronic Information Disclosure Statements, and United States Patent and Trademark Office Acknowledgment Receipts for the electronically filed Information Disclosure Statement (EFS ID #80636) filed on March 23, 2005.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 3-23-05

By:   
Thomas B. Haverstock  
Reg. No.: 32,571

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP

Date: 3-23-05 

Attorneys for Applicants



PATENT  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	Group Art Unit: 2142
John G. Leddy et al.	)	Examiner: Vu, Thong H.
Serial No.: 09/903,441	)	<b><u>SUPPLEMENTAL INFORMATION</u></b>
Filed: July 10, 2001	)	<b><u>DISCLOSURE STATEMENT</u></b>
For: <b>ROUTING INFORMATION</b>	)	162 N. Wolfe Road
<b>EXCHANGE</b>	)	Sunnyvale, CA 94086
	)	(408) 530-9700

MS: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

United States Patents or Published Patent Applications have been filed electronically (EFS ID #80636). Applicants have become aware of the following printed publication which may be material to the examination of this application:

- PCT Publication No. WO 00/25224;
- PCT Publication No. WO 02/033896 A3;
- Sami Iren et al., "The Transport Layer: Tutorial and Survey", XP-002210446, ACM Computing Surveys, Vol. 31, No. 4, December 1999, pages. 360-405; and
- D. B. Ingham et al., "Supporting Highly Manageable Web Services", Computer Networks and ISDN Systems 29 (1997), pages. 1405-1416.

03/28/2005 WASFAW1 00000001 09903441

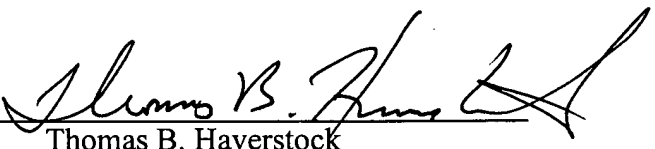
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This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 3-23-05

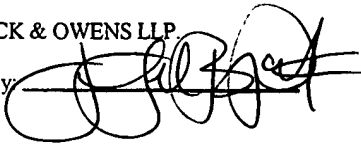
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- 2 -

HAVERSTOCK & OWENS LLP  
Date: 3-23-05 By: 

FORM PTO-1449  
(Modified)U.S. Department of Commerce  
Patent and Trademark Office

Attorney Docket No.: AVARS-02300

Serial No.: 09/903,441

**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**  
(Use Several Sheets If Necessary)

(37 CFR § 1.98(b))

Applicants: John G. Leddy et al.

Filing Date: July 10, 2001

Group Art Unit: 2142

**FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS**

		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
							Yes	No
	AA	WO 00/25224	05/04/00	PCT	G06F	13/00		X
	AB	WO 02/033896 A3	04/25/02	PCT	H04L	12/56		X

**OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)**

	AC	Sami Iren et al., "The Transport Layer: Tutorial and Survey", XP-002210446, ACM Computing Surveys, Vol. 31, No. 4, December 1999, pages. 360-405.						
	AD	D. B. Ingham et al., "Supporting Highly Manageable Web Services", Computer Networks and ISDN Systems 29 (1997), pages. 1405-1416.						
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Examiner:

Date Considered:

EXAMINER:

Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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UNITED STATES PATENT AND TRADEMARK OFFICE  
ACKNOWLEDGEMENT RECEIPT

Title of Invention	ROUTING INFORMATION EXCHANGE									
Submission Type:	Information Disclosure Statement									
Application Number:	09/903441	*09/903441*								
EFS ID:	80636									
Server Response:	<table border="1"> <tr> <th>Confirmation Code</th> <th>Message</th> </tr> <tr> <td>ISVR1</td> <td>Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application</td> </tr> <tr> <td>ICON1</td> <td>8908</td> </tr> <tr> <td>USPTOEFSNotice</td> <td>For assistance with e-filing a patent application, contact the Patent Electronic Business Center: Toll-Free Number: 1(866) 217-9197 Website: <a href="http://www.uspto.gov/ebc/">http://www.uspto.gov/ebc/</a></td> </tr> </table>		Confirmation Code	Message	ISVR1	Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application	ICON1	8908	USPTOEFSNotice	For assistance with e-filing a patent application, contact the Patent Electronic Business Center: Toll-Free Number: 1(866) 217-9197 Website: <a href="http://www.uspto.gov/ebc/">http://www.uspto.gov/ebc/</a>
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ICON1	8908									
USPTOEFSNotice	For assistance with e-filing a patent application, contact the Patent Electronic Business Center: Toll-Free Number: 1(866) 217-9197 Website: <a href="http://www.uspto.gov/ebc/">http://www.uspto.gov/ebc/</a>									
First Named Applicant:	John Leddy									
Attorney Docket Number:										
Timestamp:	2005-03-23 14:13:34 EDT									
From:	us									
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Acknowledgement Receipt

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Digital Certificate Holder Name:	cn=Thomas B. Haverstock,ou=Registered Attorneys,ou=Patent and Trademark Office,ou=Department of Commerce,o=U.S. Government,c=US	

TRANSMITTAL							
Electronic Version v1.1 Stylesheet Version v1.1.0							
Title of Invention	ROUTING INFORMATION EXCHANGE						
Application Number: 09/903441 *09/903441 *							
Date: 2001-07-10							
First Named Applicant: John G. Leddy							
Confirmation Number: 8908							
Attorney Docket Number:							
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<table border="1"><thead><tr><th>Submitted by:</th><th>Elec. Sign.</th><th>Sign. Capacity</th></tr></thead><tbody><tr><td>Thomas B. Haverstock Registered Number: 32571</td><td>/tbh/</td><td>Attorney</td></tr></tbody></table>		Submitted by:	Elec. Sign.	Sign. Capacity	Thomas B. Haverstock Registered Number: 32571	/tbh/	Attorney
Submitted by:	Elec. Sign.	Sign. Capacity					
Thomas B. Haverstock Registered Number: 32571	/tbh/	Attorney					

Documents being submitted	Files
us-ids	AVARS_02300-usidst.xml
	us-ids.dtd
	us-ids.xsl
Comments	

## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v1.8

Stylesheet Version v1.8.0

Title of  
Invention

## ROUTING INFORMATION EXCHANGE

Application Number: 09/903441

\*09/903441\*

Confirmation Number: 8908

First Named Applicant: John Leddy

Attorney Docket Number:

Search string: ( 5802106 or 6026411 or 6119235 or 6286045 or 6341309 or  
6601098 or 6608841 or 6625648 or 6633640 or 6748426 or  
20020038331 or 20020124100 or 20030016770 ).pn.

## US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

Init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	5802106	1998-09-01	Packer			
	2	6026411	2000-02-15	Ronen			
	3	6119235	2000-09-12	Vald et al.			
	4	6286045	2001-09-04	Griffiths et al.	B1		
	5	6341309	2002-01-22	Vald et al.	B1		
	6	6601098	2003-07-29	Case et al.	B1		
	7	6608841	2003-08-19	Koodli	B1		
	8	6625648	2003-09-23	Schwaller et al.	B1		
	9	6633640	2003-10-14	Cohen et al.	B1		
	10	6748426	2004-06-08	Shaffer et al.	B1		

## US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

Init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
	1	20020038331	2002-03-28	Flavin	A1		
	2	20020124100	2002-09-05	Adams	A1		
	3	20030016770	2003-01-23	Trans et al.	A1		

Signature

Examiner Name

Date

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